

Payment Card Industry Data Security Standard (PCI DSS)

Awarded to:

Monex Financial Services Ltd.

Level 1 Service Provider

Service(s) Covered:

Clearing and Settlement

Dynamic Currency Conversion Provider

Tokenisation

Summary Reporting



Johan Hagdahl

Director, EMEA Global Compliance and Risk Services

This is to certify that Monex Financial Services Ltd. has successfully completed an assessment by SecureTrust against the Payment Card Industry Data Security Standard v3.2.1 (PCI DSS).

Conditions of issuing:

1. SecureTrust has issued this certificate to indicate that Monex Financial Services Ltd's card holder environment has been provisionally validated against the Payment Card Industry Data Security Standard v3.2.1 (PCI DSS) as of the Date of Compliance as stated below.
2. This certificate is subject to validation conditions as laid out within the PCI DSS audit and assessment procedures and is subject to final acceptance by the relevant acquirer and/or card scheme(s). Any queries please contact SecureTrust at Compliance-QA@SecureTrust.com. This certificate covers a one-year period beginning from the Date of Compliance.
3. This certificate shall not warrant or guarantee to any third party that Monex Financial Services Ltd's card holder environment is invulnerable to attack or compromise. Accordingly, in no event shall SecureTrust be liable to any third party in the event of loss or damage caused by any failure or breach of Monex Financial Services Ltd's systems or payment applications.
4. This certificate is issued by SecureTrust as a commercial representation of work complete and is not officially sanctioned by either the SSC or any card scheme making up said body.